

The meeting will be preceded by
Training on the Accounts starting
at 1.00 pm.

Agenda



AGENDA for a meeting of the AUDIT COMMITTEE in COMMITTEE ROOM B at County Hall, Hertford on WEDNESDAY, 18 JULY 2018 at 2.00 PM

MEMBERS OF THE COMMITTEE (10) (Quorum 3)

S N Bloxham, F Button (*Chairman*), B A Gibson, S Gordon, R M Roberts (*substituting for J M Graham*), N A Hollinghurst, A J S Mitchell, S J Taylor, T J Williams, W J Wyatt-Lowe (*Vice-Chairman*),

Meetings of the Committee are open to the public (this includes the press) and attendance is welcomed. However, there may be occasions when the public are excluded from the meeting for particular items of business. Any such items are taken at the end of the public part of the meeting and are listed under "Part II ('closed') agenda".

Committee Room B fitted with an audio system to assist those with hearing impairment. Anyone who wishes to use this should contact main (front) reception.

Members are reminded that all equalities implications and equalities impact assessments undertaken in relation to any matter on this agenda must be rigorously considered prior to any decision being reached on that matter.

Members are reminded that:

- (1) if they consider that they have a Disclosable Pecuniary Interest in any matter to be considered at the meeting they must declare that interest and must not participate in or vote on that matter unless a dispensation has been granted by the Standards Committee;
- (2) if they consider that they have a Declarable Interest (as defined in paragraph 5.3 of the Code of Conduct for Members) in any matter to be considered at the meeting they must declare the existence and nature of that interest. If a member has a Declarable Interest they should consider whether they should participate in consideration of the matter and vote on it.

PART I (PUBLIC) AGENDA

1. MINUTES

To confirm the minutes of the meeting held on 15 May 2018.

2. SIAS AUDIT CHARTER 2018/19

Report of the Director of Resources

3. CODE OF CORPORATE GOVERNANCE 2018/19

Report of the Director of Resources

4. 2017/18 ERNST & YOUNG AUDIT UPDATE REPORT; HERTFORDSHIRE COUNTY COUNCIL RESPONSES

Report of the Director of Resources

5. HERTFORDSHIRE COUNTY COUNCIL PENSION FUND AUDIT RESULTS REPORT

Report of Ernst & Young

6. RESPONSE TO THE AUDIT RESULTS REPORT 2017/18 – PENSION FUND

Report of the Assistant Director Finance

7. HERTFORDSHIRE COUNTY COUNCIL – SIAS INTERNAL AUDIT PROGRESS REPORT

Report of the Director of Resources

8. HERTFORDSHIRE COUNTY COUNCIL ANTI-FRAUD REPORT 2017/18

Report of the Director of Resources

9. RISK MANAGEMENT QUARTERLY UPDATE

Report of Head of Assurance

10. CONTRACT MANAGEMENT

Report of the Director of Resources

11. END-OF-YEAR REPORT ON THE TREASURY MANAGEMENT SERVICE AND PRUDENTIAL INDICATORS 2017/18

Report of the Chief Finance Officer

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12. WHISTLEBLOWING ANNUAL REPORT 2017/18

Report of the Director of Resources

13. FUTURE WORK PROGRAMME

The Committee is invited to agree its future rolling work programme, suggested as follows:-

11 September 2018:

- Audit Results Report 2017/18
- Risk Management Update
- Risk Focus Report
- Internal Audit Progress Report
- Shared Internal Audit Service Annual Report
- Shared Internal Audit Service progress Report on Anti Fraud

3 December 2018:

- Annual Audit Letter – HCC 2018/19
- Mid-Year Report on the Treasury Management Service and Prudential Indicators 2018/19
- Risk Management Update
- Risk Focus Report
- Internal Audit Progress Report
- HFRS Statement of Assurance 2018/19

29 March 2019:

- External Audit Plan 2018/19 – County Council
- External Audit Plan 2018/19 – Pension Fund
- Letters of Representation on Management and Oversight of The Hertfordshire County Council (Including Firefighters' Pension Fund) And Hertfordshire Pension Fund Accounts 2016/17
- Risk Management Annual Report 2018/19
- Risk Focus Report
- SIAS Internal Audit Progress Report
- SIAS Internal Audit Plan 2019/20
- SAFS Progress Report on Anti-Fraud Plan & Future Anti Fraud Plan

8 May 2019: 2.00 pm

- Annual Governance Statement 2018/19 and Code of Corporate Governance
- Annual Assurance Statement and Internal Audit Annual Report 2018/19
- PREVENT report

26 July 2019:

- HCC Audit Results Report 2018/19
- Response To Audit Results Report – HCC (Including Fire Fighters' Pension Fund) Financial Statements

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- Audit Results Report 2018/19 – HCC Pension Fund
- Response To The Audit Results Report 2018/19 – HCC Pension Fund
- Annual Statement Of Accounts 2018/19– HCC (Including Fire Fighters' Pension Fund) Financial Statements
- Internal Audit Progress Report
- Shared Anti Fraud Service Annual Report 2018/19
- Risk Management Update Report
- Risk Focus Report
- End of Year Report on the Treasury Management Service and Prudential Indicators 2018/19
- Whistle Blowing Annual Report 2018/19

14. OTHER PART I BUSINESS

Such other part I (public) business which the Chairman agrees is of sufficient urgency to warrant consideration.

PART II ('CLOSED AGENDA')

EXCLUSION OF PRESS AND PUBLIC

The Chairman will move:-

“That under Section 100(A)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that they/it involve/s the likely disclosure of exempt information as defined in paragraph/s.....of Part 1 of Schedule 12A to the said Act and the public interest in maintaining the exemption outweighs the public interest in disclosing the information.”

If you require further information about this agenda please contact Theresa Baker, Democratic Services Officer, on telephone no (01992) 556545 or by e-mail to theresa.baker@hertfordshire.gov.uk

Agenda documents are also available on the internet at:

<https://cmis.hertfordshire.gov.uk/hertfordshire/Calendarofcouncilmeetings.aspx>